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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

A. David Johnson et. al.

Serial No.: 10/588,413

Filing Date: 31 Jul 2006

Title: Single Crystal Shape Memory Devices And Methods

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Non-Fee Amendment, Commissioner for Patents, PO Box 1450, Alexandria VA on Tue, Oct 31, 2006

Richard E. Backus

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Signed:

INFORMATION DISCLOSURE STATEMENT

Mail Stop NO FEE Patent Application

Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1540

Sir:

The following citation of prior art is made in accordance with 37 CFR 1.56 and 37 CFR 1.97-1.98:

- Article "Robototechnic Constructions Based On CU-AL-NI Single Crystal Actuators" by I. E. Viahhi et. al. published in Proceedings of the Second International Conference on Shape Memory and Superelastic Technologies in 1997.

A copy of the foregoing document is attached together with form PTO/SB/08b. This is being submitted before the first action on the merits.

Respectfully submitted,

Dated: Tue, Oct 31, 2006

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

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of

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Complete if Known

Application Number

10/588,413

Filing Date

07/31/2006

First Named Inventor

A. DAVID JOHNSON

Art Unit

Examiner Name

Attorney Docket Number

A63472-2

NON PATENT LITERATURE DOCUMENTS

**Examiner
Signature**

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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